

Overview

This quick reference guide displays the required documents a New Business Employer Group must submit to determine eligibility for each individual business type. These documents must be submitted with the employers Covered California for Small Business application.

Sole Proprietor	
In Business Less than 3 months	In Business More than 3 Months
Document 1) Local Business License, or Fictitious	Document 1) Schedule C, or Local Business License or
Business Name Filing, and	Fictitious Business License, and
Document 2) DE-9C, or Payroll Records for 30	Document 2) DE-9C, and
Days	
	Document 3) Schedule C (if owner is enrolling)

Corporation

In Business More than 3 Months
Document 1) DE-9C
Document 2) Statement of Information (if Officers are
offered coverage and not listed on DE-9C)

Partnership

In Business Less than 3 months	In Business More than 3 Months
Document 1) Partnership Agreement	Document 1) DE-9C
Document 2) Federal Tax ID Appointment letter	Document 2) Current Schedule K-1 (if Partners are not listed on DE-9C), or Partnership Agreement and Fed Tax ID Appointment letter (if Schedule K-1 not available)
Document 3) DE-9C, or Payroll records for 30	
days	

Limited Partnership – LP

In Business Less than 3 months	In Business More than 3 Months
Document 1) Partnership Agreement	Document 1) DE-9C (Limited Partners of a LP are not
	eligible for coverage unless they appear on DE-9C)
Document 2) Federal Tax ID Appointment letter	Document 2) Current Schedule K-1 (if General Partners are not listed on DE-9C), or Partnership Agreement and Fed Tax ID Appointment letter (if Schedule K-1 not available)
Document 3) DE-9C, or Payroll records for 30	
days	



Limited Liability Partnership – LLP

In Business Less than 3 months	In Business More than 3 Months
Document 1) Partnership Agreement, or Federal	Document 1) DE-9C
Tax ID Appointment letter	
Document 2) DE-9C, or Payroll Records for 30	Document 2) Current Schedule K-1 (if Partners are not
Days	listed on DE-9C), or Partnership Agreement and Fed Tax ID
	Appointment letter (if Schedule K-1 not available)

Limited Liability Company – LLC

In Business Less than 3 months	In Business More than 3 Months
Document 1) Articles of Organization with Operating Agreement, or Statement of Information	Document 1) DE-9C
Document 2) DE-9C, or Payroll Records for 30	Document 2) Current Schedule K-1 for Partnership or a
Days	Schedule C for Sole Proprietorship (if managing members are not listed showing wages on DE-9C), or Statement of Information or Articles of Organization with Operating
	Agreement (if no Schedule K-1 or Schedule C)